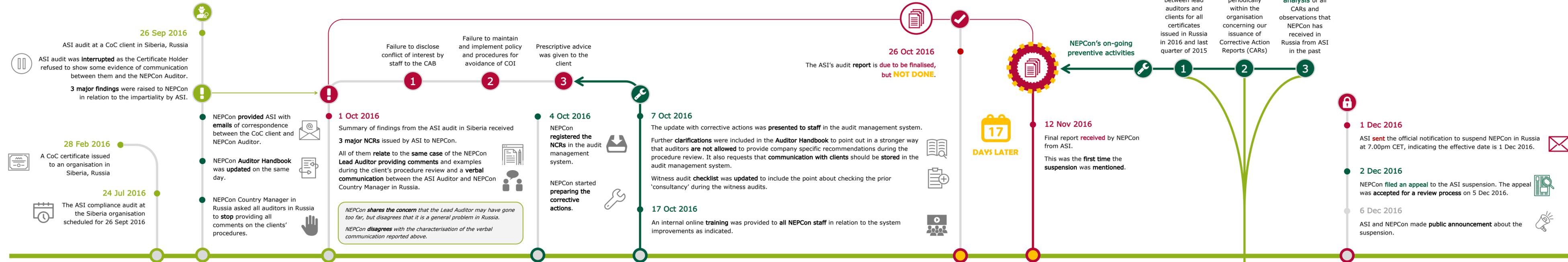


Timeline - ASI's Recommendation to the Suspension of NEPCon's FSC Accreditation in Russia



Review of client communication in Russia

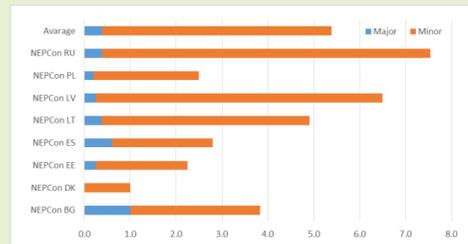
Extracting emails between 1 October 2015 and 18 Nov 2016

346 emails from the lead auditors to the clients were checked:

Emails that contain	No of cases	Share
No feedback	40	80%
Gaps identified	3	6%
Borderline comments	5	10%
Contribution to procedures	2	4%

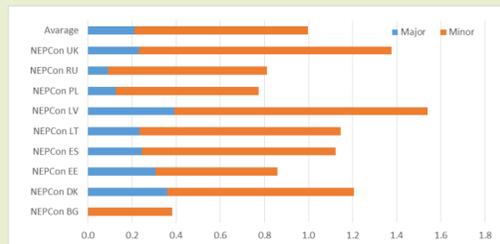
The review analysed the types and frequency of issuance of CARs between and among NEPCon offices and auditors.

The Russian country office was issuing significantly higher number of CAR's for FM certification, above average for COC Minor CARs and slightly below average for COC Major CARs.



Average number of NCRs issued for FSC FM certification issued during assessment, audits and re-assessments in 2016

Evaluation of non-conformances issued by NEPCon



Average number of NCRs issued for FSC CoC certification issued during assessment, audits and re-assessments in 2016

Analysis of all the findings (both non-conformities - NCRs as well as observations - OBSs) from all audits conducted by ASI since the beginning of NEPCon accreditation on 26 Nov 2014.

31 audits done by ASI, in which 7 are in Russia

Review of ASI audits of NEPCon

Type of audits	No. of audits in total	Out of these, in Russia
Office audits	5	1
Witness audits	22	3
Compliance audits	4	3
TOTAL	31	7

During these audits, ASI has issued 20 major and 38 minor NCRs and 55 OBSs to NEPCon. The most recent 3 NCRs were issued during the single audit in Siberia, Russia.

There have not been any NCRs previously raised by ASI in Russia in relation to COI or impartiality issues.

ASI has not identified any breaches of impartiality across the whole NEPCon organisation during any of the NEPCon accreditation audits.

RESULTS

This timeline has been approved by ASI.

Infographic size: 78 cm x 30 cm.

A1 paper should be used for printing this document.